

NOV. 30. 2004 3:30PM

NYSD

NO. 279 P. 5

## DISCLOSURE AUTHORIZATION

Claimant's Name (Please Print):

Steven Alesano

I AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnoses, prognosis, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related testing, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audits or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurer, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, neighbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim files and claim history, work history and work related activities.

I UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and I or my authorized representative may request one. I or my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a) reinsuring companies; b) the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c) fraud or overinsurance detection bureaus; d) anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employers involved in return to employment discussions; e) for audit or statistical purposes; f) as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information does not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 CFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information it disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may not be subject to the Health Insurance Portability and Accountability Act (HIPAA).

Signature of Claimant or  
Claimant's Authorized Representative:



Date:

11/14/04

Relationship,  
if other than Claimant:

Claimant's Social Security Number:

099-44-9648

Company Name:

CIGNA Life Insurance Company of New York

## PROHIBITION ON RE-DISCLOSURE

If the medical information contains information regarding drug or alcohol abuse, it may be protected under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such protected information from the Company from making any further disclosure of it without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to criminally investigate or prosecute any alcohol or drug abuse patient.

Page 4 of 5

CLICNY 0962

A16 NOV 30 2004 3:30PM 41-4HYP1

NO. 279 F. 6

09/14/04 107486 7701200 CERVICAL SPINE MINIMUM 4 VIEWS Final

Ordered: 09/14/2004 Location: COMPRH CARE-HT4

Order time: 0929

Name: ALFANO, STEVEN

MRN: (00000)002284147

RADIOLOGY REPORT.

Age: 46 YRS Sex: M DOB: 01/14/58

Admitting M.D.: ROACH, KEITH W DR. MD

EXAM DATE: Accession #:

Exam Ordered: Order M.D.

09/14/04 01-RA-04-107486

CSP 4 V ROACH, KEITH W DR. MD

## FINDINGS:

Clinical History: Neck pain. Lumbar stenosis.

Technique: Frontal lateral and oblique views of the cervical spine. Five views.

Comparison: None

Findings: Degenerative disk disease with disk space narrowing noted at C6-C7. Uncal-vertebral joint osteophyte narrows the neural foramen at this level greater on the left than the right. Remainder of examination is normal. Alignment is normal and there is no evidence of fracture or dislocation. Regional soft tissues and osseous structures are normal.

## IMPRESSION:

Degenerative disk disease with disk space narrowing and osteophyte formation at C6-C7. Left foraminal narrowing secondary to uncal vertebral joint osteophyte formation.

## DIAGNOSIS:

01RA04107486

Study interpreted and report approved by: Robert D. Zimmerman M.D.

Electronically signed Diagnostic Imaging Report

14SEP200/ 14SEP2004/ RZ

Exam start / Sign-off / Transcription initials.

Mark Sadders  
Case Manager  
Disability Management Solutions

November 30, 2004

Steven Alfano  
3800 Waldo Avenue  
13-G  
Bronx, NY 10463

Routing: D212  
12725 Greenville Ave.  
Suite 1000 - LB 119  
Dallas TX 75243  
Telephone 1.800.352.0611 Ext. 5693  
Facsimile 860-731-3413  
Mark.Sadders@cigna.com

Re: Claimant: Steven Alfano  
Policyholder: Weill Medical College  
Policy Number: NYK 1972  
CIGNA Life Insurance Company of New York

Dear Ms. Alfano:

This letter is in reference to the captioned Long Term Disability claim.

As of this date, we have not received the information requested from you in our November 9, 2004 letter. Please provide us with the following information by December 21, 2004:

**1. The enclosed Supplementary Claim Disability Benefits form.**

You may fax this information to the undersigned at 860-731-3413.

A copy of the original request is enclosed with this notice. If this information has already been sent, please disregard this notice.

In addition, please be advised that, as of January 1, 2005, your Gross Monthly Benefit will be subject to a 3% Cost Of Living Adjustment. Your benefit check for the time period of December 3, 2004 through January 2, 2005, will be for the net amount of \$2,282.53. Your new Gross Monthly benefit amount, prior to deductions from Other Benefits, will be \$4,674.60 beginning January 1, 2005.

Please refer to the enclosed calculation sheets for details.

Your assistance in providing this information is appreciated and will aid in the prompt handling of the claim.

Sincerely,

Mark Sadders

[illegible]

What has been Paid		Corrected Payments
Totals	Benefits Paid	\$4,602.10
	FICA Withhold	\$0.00
	FTF Withhold	\$0.00
	Total of all payments:	\$4,602.10
Case Manager: <u>Mind. Sudders</u> Phone Number: <u>800-537-0841 x2003</u> Claim Office: <u>0003</u>		



Acerza: Task

Task: General Follow-Up		Notes (0/0)	
Start Date:	11/23/2004	Due Date:	11/24/2004
<div> <div>Details</div> <div> <div> <div>Name</div> <div>STEVEN ALFANO</div> </div> <div> <div>SSN</div> <div>098-44-3848</div> </div> <div> <div>DOB</div> <div>01/14/1958</div> </div> </div> <div> <div>Account Name</div> <div>WEILL MEDICAL COLLEGE</div> </div> <div> <div>Account #</div> <div>NYK0001872</div> </div> <div> <div>Incurred Date</div> <div>05/05/2000</div> </div> </div> <div> <div>Claim Manager</div> <div>Mark Sodders</div> </div> <div> <div>Incident #</div> <div>513554</div> </div> <div> <div>Claim Eff Dt-Status</div> <div>01/21/2003 - Active</div> </div>			

Time

11/19/2004 11:05 AM

User ID

Mark Sodders

Comment/Instructions

referred on 11/09/04  
11/19/04 received today. Waiting on APS for COD.

Last Changed User

Mark Sodders

Last Changed Date

11/19/2004 11:05 AM

Active Contents

Type

Due Date

Created By

Assigned To

LTD

05/05/2000

Mark Sodders

ALFANO, STEVEN -- 098443848 -- 01/14/1958

Status: Completed

Assigned To: Mark Sodders

Created:

11/09/2004 11:51 AM

[https://dms-acclaim.group.cigna.com/scenza/Task/TaskOTCTASK\\_FOLLOWUPDisplay.asp?id=10632112&wd=1&oeKey=T...](https://dms-acclaim.group.cigna.com/scenza/Task/TaskOTCTASK_FOLLOWUPDisplay.asp?id=10632112&wd=1&oeKey=T...) 11/19/2004

DCT-15-2004 14:47 From: CIGNA DALLAS

9729521205

To: 1212 746 8127

P.2

**PHYSICAL ABILITY ASSESSMENT**

We are evaluating your patient's disability claim in order to determine functional impairment. Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

Patient Name Steve Alamo Date of Birth \_\_\_\_\_  
 Diagnosis(es)/ICD-9 Code spinal stenosis

Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:

	Not applicable to diagnosis(es)	Continuously (67-100%) (5.5 + hrs)	Frequently (34-66%) (2.5 - 5.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check if supported by objective findings
Sitting:				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Standing:				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Walking:				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Reaching: Overhead	<input checked="" type="checkbox"/>				
Desk Level	<input checked="" type="checkbox"/>				
Below Waist	<input checked="" type="checkbox"/>				
Fine Manipulation: Right:	<input checked="" type="checkbox"/>				
Left:	<input checked="" type="checkbox"/>				
Simple Grasp: Right:	<input checked="" type="checkbox"/>				
Left:	<input checked="" type="checkbox"/>				
Firm Grasp: Right:	<input checked="" type="checkbox"/>				
Left:	<input checked="" type="checkbox"/>				
Lifting: 10 lbs.				<input checked="" type="checkbox"/>	
11-20 lbs.					
21-50 lbs.					
51-100 lbs.					
100+ lbs.					
Carrying: 10 lbs.				<input checked="" type="checkbox"/>	
11-20 lbs.					
21-50 lbs.					
51-100 lbs.					
100+ lbs.					

OCT-15-2004 14:47 From: CIGNA DALLAS

9729521285

To: 1212 746 8127

P.3

	Not applicable to diagnosis(es)	Continuously (67-100%) (5.5 + hrs)	Frequently (34-66%) (2.5 - 5.5 hrs)	Occasionally (1-33%) ( $\leq$ 2.5 hrs)	Check if supported by objective findings
Pushing: (Max. Wt.: <u>10/1</u> )				<u>L</u>	
Pulling: (Max. Wt.: <u>10/1</u> )				<u>L</u>	
Climbing: Regular Stairs				<u>L</u>	
Regular Ladders				<u>L</u>	
Balancing:				<u>L</u>	
Stooping:					
Reclining:					
Crouching:					
Crawling:					
Seeing:	<u>L</u>				
Hearing:	<u>L</u>				
Smell/Taste:	<u>L</u>				
Environmental Conditions:					
Exposure to extremes in heat	<u>L</u>				
Exposure to extremes in cold	<u>L</u>				
Exposure to wet / humid conditions	<u>L</u>				
Exposure to vibration	<u>L</u>				
Exposure to odors / fumes / particles	<u>L</u>				
Can work around machinery					
Ability to work extended shifts/ overtime:					
Use lower extremities for foot controls:				<u>L</u>	

Please use this space to elaborate on ANY of the above categories:

Name: Karl R. R.  
 Medical Specialty: TOX E  
 Address: New York NY  
 Federal ID tax number:

Signature: [Signature]  
 Date: 10/1/04  
 Phone: 212 716 2829

Please include any objective test or narrative if available.  
 Thank you for your time.

Please return this form in the enclosed addressed envelope.



OCT-15-2004 14:47 From: CIGNA DALLAS

9789521203

To: 1212 746 8127

P. 4

## DISCLOSURE AUTHORIZATION

Claimant's Name (Please Print):

STEVEN ALFANO

I AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnoses, prognoses, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related testing, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audits or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurer, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, neighbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim files and claim history, work history and work related activities.

I UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and or my authorized representative may request one. I or my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a) reinsuring companies; b) the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c) fraud or overinsurance detection bureaus; d) anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employers involved in return to employment discussions; e) for audit or statistical purposes; f) as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information does not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 CFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information if disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may not be subject to the Health Insurance Portability and Accountability Act (HIPAA).

Signature of Claimant or  
Claimant's Authorized Representative:



Date: 7/20/04

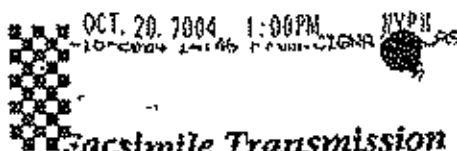
Relationship,  
if other than Claimant

Claimant's Social Security Number: 090-14-9640

Company Name:

## PROHIBITION ON RE-DISCLOSURE

If the medical information contains information regarding drug or alcohol abuse, it may be protected under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such protected information from the Company from making any further disclosure of it without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to criminally investigate or prosecute any alcohol or drug abuse patient.



OCT. 20. 2004 1:00PM

NYPH

9729521235

T 1212 746 NO. 916 P. 1

Facsimile Transmission Cover Sheet

## THIRD REQUEST

Also  
Waiting  
Original!CIGNA Group Insurance  
Life · Accident · Disability

Transmit to FAX number 212-746-8127	Date October 15, 2004	Time 2:00 p.m.	Total number of pages (including this sheet)
--	--------------------------	-------------------	---

Name  
Dr. Roach  
Company

Phone  
212-746-2879

Address  
505 E. 70<sup>th</sup> St. Rt. 450  
New York, NY 10021

Name  
Mark Sodders  
Department  
CIGNA Disability Management Solutions  
Phone  
1.800.362.0611 Extension 5693  
Address  
12225 Greenville Avenue  
Suite 1000, LB 179  
Dallas Texas 75243

## Comments

RE: Steven Alfano  
DOB: 1/14/58  
Policyholder: Weill Medical College NYK 1972  
Underwriting Company: Life Insurance Company of North America

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income) we are in need of the following information:

- A completed Physical Abilities Assessment form (attached).

We ask that you kindly respond by 10/29/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Sodders

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

This is a service of CIGNA Life Insurance Company of New York  
CIGNA Life Insurance Company of New York

[ ] Acknowledgment Requested

To Fax a reply, dial: 860.731.2907

11/10/04

Policy Language - anniversary of disability  
less of 10% of CPT in preceding calendar year.

ED: 6/6/00

BSD: 12/3/00

06/06/00 -

06/05/01

= Covered Earnings 5,933.32

06/06/01

06/05/02

= 3.4%

= 6,135.05

06/06/02

06/05/03

= 1.3%

= 6,214.81

06/06/03

06/05/04

= 2.4%

= 6,282.29

06/06/04

06/05/05

= 1.6%

~~Not time yet~~

= 6,233.21

80% = 4,986.57

Mark Sodders  
Case Manager  
CIGNA Disability Management Solutions



**CIGNA Group Insurance**  
Life • Accident • Disability

November 9, 2004

Routing 312E  
12225 Greenville Avenue  
Suite 1000 LB 179  
Dallas, TX 75243-9382  
Telephone 800.352.0611 x5693  
Facsimile 860.731.2907  
Mark.Sodders@Cigna.com

Steven Alfano  
3800 Waldo Avenue, 13-G  
Bronx, NY 10463

Re: Claimant: Steven Alfano  
Policyholder: Weill Medical College  
Policy Number: NYK 1972  
CIGNA Life Insurance Company of New York

Dear Mr. Alfano:

This letter is in reference to the captioned Long Term Disability claim.

A review of our file reveals the need for updated information. One of the provisions of your contract specifies that you may not be considered totally disabled for any period if you are not under the care of a licensed physician. Please complete the following information and return to this office by November 30, 2004:

- Supplementary Claim Disability Benefits form.

You may fax this information back, attention to the undersigned, to 860-731-2907. Or, a return envelope is enclosed for your convenience.

Your assistance in providing this information is appreciated and will aid in the prompt handling of your claim.

Sincerely,

Mark Sodders

"CIGNA" and "CIGNA Group Insurance" are registered service marks and refer to various operating subsidiaries of CIGNA Corporation. Products and services are provided by those subsidiaries and not by CIGNA Corporation. Those subsidiaries include Life Insurance Company of North America, CIGNA Life Insurance Company of New York, and Connecticut General Life Insurance Company.

CLICNY 0973



15-2004 14:46 From CIGNA DALLAS

9729521205

To: 1212 746 8127

P.1

Facsimile Transmission Cover Sheet

## THIRD REQUEST

Also  
Marking  
Original!CIGNA Group Insurance  
Life • Accident • Disability

Transmit to FAX number	Date	Time	Total number of pages (including this sheet):
212-746-8127	October 15, 2004	2:00 p.m.	

Name  
Dr. Roach  
Company

Phone  
212-746-2879

Address  
505 E. 70<sup>th</sup> St. Ht. 450  
New York, NY 10021

Name  
Mark Soddars

Department  
CIGNA Disability Management Solutions

Phone  
1.800.352.0611 Extension 5692

Address  
12225 Greenville Avenue  
Suite 1000, LB 179  
Dallas Texas 75243

OCT 28 2004

CIGNA DALLAS

## Comments

RE: Steven Alfano  
DOB: 1/14/58  
Policyholder: Weill Medical College NYK 1972  
Underwriting Company: Life Insurance Company of North America

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income) we are in need of the following information:

- A completed **Physical Abilities Assessment form (attached)**.

We ask that you kindly respond by 10/29/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your **tax identification number**. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Soddars

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

Life Insurance Company of North America  
CIGNA Group Insurance Company  
CIGNA Life Insurance Company of New York

[ ] Acknowledgment Requested

To Fax a reply, dial: 860.731.2907



OCT-01, 20, 2004 1:00 PM CIGM NYCH

978521825

T-1212 746 NO. 916 P. 2a

**PHYSICAL ABILITY ASSESSMENT**

We are evaluating your patient's disability claim in order to determine functional impairment. Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

Patient Name Steve Alamo Date of Birth \_\_\_\_\_  
 Diagnosis(es)/ICD-9 Code 91.21 smad

Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:

	Not applicable to diagnosis(es)	Continuously (67-100%) (8.8 + hrs)	Frequently (34-66%) (2.6 - 8.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check, if supported by objective findings
Sitting:				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Standing:				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Walking:				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Reaching: Overhead	<input checked="" type="checkbox"/>				
Desk Level	<input checked="" type="checkbox"/>				
Below Waist	<input checked="" type="checkbox"/>				
Fine Manipulation: Right	<input checked="" type="checkbox"/>				
Left	<input checked="" type="checkbox"/>				
Simple Grasp: Right	<input checked="" type="checkbox"/>				
Left	<input checked="" type="checkbox"/>				
Firm Grasp: Right	<input checked="" type="checkbox"/>				
Left	<input checked="" type="checkbox"/>				
Lifting: 10 lbs.				<input checked="" type="checkbox"/>	
11-20 lbs.					
21-50 lbs.					
51-100 lbs.					
100+ lbs.					
Carrying: 10 lbs.				<input checked="" type="checkbox"/>	
11-20 lbs.					
21-50 lbs.					
51-100 lbs.					
100+ lbs.					

OCT-OCT. 20. 2004 1:01 PM CIGNA NY PHILAS

97295212225

Tel: 1212 746 NO. 916 P. 33

	Not applicable to diagnosis(es)	Continuously (87-100%) (5.6 + hrs)	Frequently (84-86%) (2.5 - 5.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check if supported by objective findings
Pushing: (Max. Wt.: <u>10/1</u> )				<u>L</u>	
Pulling: (Max. Wt.: <u>10/1</u> )				<u>L</u>	
Climbing: Regular Stairs				<u>L</u>	
Regular Ladders				<u>L</u>	
Balancing:				<u>L</u>	
Stooping:					
Kneeling:					
Crouching:					
Crawling:					
Seeing:	<u>L</u>				
Hearing:	<u>L</u>				
Smell/Taste:	<u>L</u>				
Environmental Conditions:					
Exposure to extremes in heat	<u>L</u>				
Exposure to extremes in cold	<u>L</u>				
Exposure to wet / humid conditions	<u>L</u>				
Exposure to vibration	<u>L</u>				
Exposure to odors / fumes / particles	<u>L</u>				
Can work around machinery	<u>L</u>				
Ability to work extended shifts/ overtime:					
Use lower extremities for foot controls:				<u>L</u>	

Please use this space to elaborate on ANY of the above categories:

Name: Karl R. R. R. Signature: [Signature]  
 Medical Specialty: MD Date: 11/9/07  
 Address: New York NY Phone: 212 746 2878  
 Federal ID tax number: \_\_\_\_\_

Please include any objective text or narrative if available.

Thank you for your time.

Please return this form in the enclosed addressed envelope.

OCT 20 2004 1:01 PM CIGNA NYPH AS

9725521225

1212 745 NO. 916 P. 4.4

## DISCLOSURE AUTHORIZATION

Claimant's Name (Please Print):

STEVEN ALFANO

I AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnoses, prognoses, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related testing, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audits or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurer, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, neighbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim files and claim history, work history and work related activities.

I UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and or my authorized representative may request one. I or my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a) reinsuring companies; b) the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c) fraud or overinsurance detection bureaus; d) anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employees involved in return to employment discussions; e) for audit or statistical purposes; f) as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information does not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 CFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information it disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may not be subject to the Health Insurance Portability and Accountability Act (HIPAA).

Signature of Claimant or Claimant's Authorized Representative:



Date:

7/20/04

Relationship,  
if other than Claimant

Claimant's Social Security Number

098-44-9640

Company Name:

## PROHIBITION ON RE-DISCLOSURE

If the medical information contains information regarding drug or alcohol abuse, it may be protected under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such protected information from the Company from making any further disclosure of it without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to criminally investigate or prosecute any alcohol or drug abuse patient.

Message Confirmation Report

OCT-15-2004 02:47 PM FRI

Fax Number : 9729521205  
Name : CIGNA DALLAS

Name/Number : 91212746812741431  
Page : 4  
Start Time : OCT-15-2004 02:46PM FRI  
Elapsed Time : 00:56"  
Mode : STD ECM  
Results : [OK]

---

## Facsimile Transmission Cover Sheet

CIGNA Group Insurance  
Life • Accident • Disability

## THIRD REQUEST

Transmit to FAX number 212-746-8127	Date October 15, 2004	Time 2:00 p.m.	Total number of pages (including this sheet) 4
Name Dr. Rosch Company  Phone 212-746-2879 Address 505 E. 70 <sup>th</sup> St. Hr. 450 New York, NY. 10021	Name Mark Soddors Department CIGNA Disability Management Solutions Phone 1.800.352.0611 Extension 5693 Address 12225 Greenville Avenue Suite 1000, LB 179 Dallas Texas 75243		
Comments			
RE: Steven Alfano DOB: 1/14/58 Policyholder: Weill Medical College NYK 1972 Underwriting Company: Life Insurance Company of North America			
<p>In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income) we are in need of the following information:</p> <ul style="list-style-type: none"> <li>• A completed Physical Abilities Assessment form (attached).</li> </ul> <p>We ask that you kindly respond by <u>10/29/04</u> to avoid any delay in your patient's claim for lost wages.</p> <p>Naturally, we will consider a reasonable charge for this medical information. Please include your <b>tax identification number</b>. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.</p> <p>Sincerely,</p> <p>Mark Soddors</p>			
<p><small>CONFIDENTIALITY NOTICE: If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.</small></p> <p><small>Life Insurance Company of North America Confidential Group Life Insurance Company CIGNA Life Insurance Company of New York</small></p>			
[ ] Acknowledgment Requested		To Fax a reply, dial: 860.731.2907	



**PHYSICAL ABILITY ASSESSMENT**

We are evaluating your patient's disability claim in order to determine functional impairment. Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

Patient Name \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 Diagnosis(es)/ICD-9 Code \_\_\_\_\_

Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:

	Not applicable to diagnosis(es)	Continuously (67-100%) (5.5 + hrs)	Frequently (34-66%) (2.5 - 5.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check if supported by objective findings
Sitting:					
Standing:					
Walking:					
Reaching: Overhead					
Desk Level					
Below Waist					
Fine Manipulation: Right:					
Left:					
Simple Grasp: Right:					
Left:					
Firm Grasp: Right:					
Left:					
Lifting: 10 lbs.					
11-20 lbs.					
21-50 lbs.					
51-100 lbs.					
100+ lbs.					
Carrying: 10 lbs.					
11-20 lbs.					
21-50 lbs.					
51-100 lbs.					
100+ lbs.					

	Not applicable to diagnosis(es)	Continuously (67-100%) (8.5 + hrs)	Frequently (34-66%) (2.5 - 8.5 hrs)	Occasionally (1-33%) (≤2.5 hrs)	Check if supported by objective findings
Pushing: (Max. Wt.: _____)					
Pulling: (Max. Wt.: _____)					
Climbing: Regular Stairs					
Regular Ladders					
Balancing:					
Stooping:					
Kneeling:					
Crouching:					
Crawling:					
Seeling:					
Hearing:					
Smell/Taste:					
Environmental Conditions:					
Exposure to extremes in heat					
Exposure to extremes in cold					
Exposure to wet / humid conditions					
Exposure to vibration					
Exposure to odors / fumes / particles					
Can work around machinery					
Ability to work extended shifts/ overtime:					
Use lower extremities for foot controls:					

Please use this space to elaborate on ANY of the above categories:

---



---



---



---

Name: \_\_\_\_\_ Signature: \_\_\_\_\_  
 Medical Specialty: \_\_\_\_\_ Date: \_\_\_\_\_  
 Address: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Federal ID tax number: \_\_\_\_\_

Please include any objective test or narrative if available.

Thank you for your time.

Please return this form in the enclosed addressed envelope.

Message Confirmation Report

SEP-14-2004 01:26 PM TUE

Fax Number : 9729521205  
Name : CITONIA DALLAS

Name/Number : 91212745812741431  
Page : 4  
Start Time : SEP-14-2004 01:26PM TUE  
Elapsed Time : 00'35"  
Mode : STD ECM  
Results : (O.K)

---

## Facsimile Transmission Cover Sheet

CIGNA Group Insurance  
Life • Accident • Disability

Transmit to FAX number 212-746-8127	Date September 19, 2004	Time 2:00 p.m.	Total number of pages (including this sheet) 4
Name Dr. Keith Roach Company  Phone 212-746-2879 Address 505 E. 70 St. Ht. 450 New York, NY. 10021	Name Mark Sodders Department CIGNA Disability Management Solutions Phone 1.800.352.0611 Extension 5693 Address 12225 Greenville Avenue Suite 1000, LB 179 Dallas Texas 75243		
Comments			

RE: Steven Allano

DOB: 1/14/58

2nd Request

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income), we are in need of the following information:

- ♦ A completed Physical Abilities Assessment form (attached).

We ask that you kindly respond by 9/28/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Sodders  
Case Manager

CONFIDENTIALITY NOTICE: If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

Life Insurance Company of North America  
Connecticut General Life Insurance Company  
CIGNA Life Insurance Company of New York

[ ] Acknowledgment Requested

To fax a reply, dial: 860.731.2907



505 East 70th Street, MT 406, New York, NY 10021

THE NEW YORK HOSPITAL - CORNELL MEDICAL CENTER

Cornell Internal Medicine Associates

212 746-2800

FAX: 212 746-3165/ 346-3803

DATE

8/24/04



Patient Name

Steven A. Lano

NYH #

228-41-47

Dear

Accounts Payable

We are in receipt of your request for a copy of medical records for service. Please note that there is a \$20 fee for this service. Kindly make check payable to Cornell Internal Medicine Associates and remit to the above address.

Sincerely,

Steven Taff

for Cornell Internal Medicine Associates

8/30/04  
P. 108





AUG. 19. 2004 1:38PM

CIGNA DALLAS

## Facsimile Transmission Cover Sheet

Medical Records -

NO. 334

P. 1

# 228 4147

CIGNA Group Insurance  
Life - Accident - Disability

Transmit to FAX number 212-746-8127	Date August 19, 2004	Time 2:00 p.m.	Total number of pages (including this sheet) 4
Name Dr. Keith Roach Company  Phone 212-746-2879 Address 505 E. 70 St. HT. 450 New York, NY. 10021	Name Mark Sodders Department CIGNA Disability Management Solutions Phone 1.800.352.0611 Extension 5693 Address 12225 Greenville Avenue Suite 1000, LB 179 Dallas Texas 75243		
Comments			

RE: Steven Alfano

DOB: 1/14/58

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income), we are in need of the following information:

- A completed Physical Abilities Assessment form (attached).
- Copies of your progress notes, including diagnostic test and lab results, from 1/1/02 to the present.

We ask that you kindly respond by 9/2/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Sodders  
Case Manager

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

Life Insurance Company of North America  
Consolidated General Life Insurance Company  
CIGNA Life Insurance Company of New York

☐ Acknowledgment Requested

To Fax a reply, dial: 860.731.2907

# PATIENT INFORMATION SHEET

Steven Alfano  
3800 Waldo Ave #13G  
Bronx, NY 10463

HOME OFFICE  
718-884-2067 (212) 745-1038

## EMERGENCY CONTACT

NAME Eva Alfano  
PHONE 718-884-2067

DOB	DATE OF BIRTH	DATE OF DEATH	M	W	D	S
099-44-9648	228-41-47	M	01/14/1958	X		

PATIENT NAME	PATIENT ID	PATIENT NAME
Eva Alfano		

INS. CO. NAME (Primary)	INS. CO. NAME (Secondary)
US Healthcare	

PLAN 1	PLAN 2
JJF02010	

GROUP 1	GROUP 2

DIAGNOSIS	TREATMENT
<p>ICD 700.57 SLEEP APNEA (ICD9CM 700.57-01/02/03)</p> <p>ICD 700.58 GENERAL MEDICAL EXAMINATION (ICD9CM 700.58-01/02/03)</p> <p>ICD 700.59 NON-SPECIFIC SINUSITIS (ICD9CM 700.59-01/02/03)</p> <p>ICD 700.60 SPERMATOPHYTES (ICD9CM 700.60-01/02/03)</p> <p>ICD 700.61 SUPRATENTORIAL CLEFT (ICD9CM 700.61-01/02/03)</p> <p>ICD 700.62 TONGUE (ICD9CM 700.62-01/02/03)</p> <p>ICD 700.63 OPHTHALMIC DISORDERS (ICD9CM 700.63-01/02/03)</p> <p>ICD 700.64 DEGENERATIVE DISORDERS (ICD9CM 700.64-01/02/03)</p> <p>ICD 700.65 BENIGN (ICD9CM 700.65-01/02/03)</p> <p>ICD 700.66 PERIOD EXAMINATION (ICD9CM 700.66-01/02/03)</p> <p>ICD 700.67 SPINAL STENOSIS (ICD9CM 700.67-01/02/03)</p> <p>ICD 700.68 SPINAL STENOSIS (ICD9CM 700.68-01/02/03)</p> <p>ICD 700.69 HYPERPLASIA OF PROSTATE (ICD9CM 700.69-01/02/03)</p> <p>ICD 700.70 GASTRITIS (ICD9CM 700.70-01/02/03)</p> <p>ICD 700.71 GASTRITIS (ICD9CM 700.71-01/02/03)</p> <p>ICD 700.72 ACID DEFORMITY OF THE (ICD9CM 700.72-01/02/03)</p> <p>ICD 700.73 CELLULITIS OF (ICD9CM 700.73-01/02/03)</p> <p>ICD 700.74 ARTHROPATHY (ICD9CM 700.74-01/02/03)</p> <p>ICD 700.75 CLASSIC MIGRAINE (ICD9CM 700.75-01/02/03)</p> <p>ICD 700.76 BRONCHITIS (ICD9CM 700.76-01/02/03)</p> <p>ICD 700.77 HEADACHE (ICD9CM 700.77-01/02/03)</p> <p>ICD 700.78 OBESITY (ICD9CM 700.78-01/02/03)</p> <p>ICD 700.79 LATE EFF MOTION VENT (ICD9CM 700.79-01/02/03)</p> <p>ICD 700.80 OBESITY (ICD9CM 700.80-01/02/03)</p> <p>ICD 700.81 NON-ATONIC (ICD9CM 700.81-01/02/03)</p> <p>ICD 700.82 UPPER (ICD9CM 700.82-01/02/03)</p> <p>ICD 700.83 CLASSIC (ICD9CM 700.83-01/02/03)</p> <p>ICD 700.84 EXPERT (ICD9CM 700.84-01/02/03)</p> <p>ICD 700.85 SPINAL (ICD9CM 700.85-01/02/03)</p> <p>ICD 700.86 GASTRO (ICD9CM 700.86-01/02/03)</p> <p>ICD 700.87 ACUTE (ICD9CM 700.87-01/02/03)</p> <p>ICD 700.88 CHRONIC (ICD9CM 700.88-01/02/03)</p> <p>ICD 700.89 LOCAL (ICD9CM 700.89-01/02/03)</p> <p>ICD 700.90 VIBRA (ICD9CM 700.90-01/02/03)</p>	<p>TRIAMCLOLONE 0.1% CREAM / apply bid</p> <p>LISINAPRIL 20MG TABLET / 1 tab po qd</p> <p>ZESTRIL 20MG TABLET / 1 po qd</p> <p>PREVACID 30MG CAPSULES / 1 po qd</p> <p>IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prn</p> <p>IMITREX 50MG TABLET / 1-2 tabs with onset of migraine</p> <p>ASPIRIN 81MG TABLET EC / 1 po qd</p> <p>VIOXX 50MG TABLET / 1 tab po qd</p> <p>OXYCONTIN 40MG TABLETS / 1 tab po qd</p>

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



63104

 Steven Alfano  
 NYH # 228-41-47  
 01/18/02 00:00

## CORNELL INTERNAL MEDICINE ASSOCIATES

 Patient Name: ALFANO, STEVEN  
 History #: 2284147  
 Accession #: 98374556  
 Soc Security: 099449648  
 Date of Birth: 01/14/58  
 Sex: M  
 Ordered by:  
 Specimen Date: 01/18/2002 00:00  
 Report Date: 01/19/2002 08:18  
 Status: Final

## COMP METABOLIC PANEL

ALT	33	U/L	2-60
AST	19	U/L	2-50
ALKALINE PHOSPHATASE	107	U/L	20-125
A/G RATIO	1.6		0.8-2.0
GLOBULIN, CALCULATED	2.8	g/dL	2.2-4.2
ALBUMIN	4.5	g/dL	3.5-4.9
PROTEIN, TOTAL	7.3	g/dL	6.0-8.3
CALCIUM	9.6	mg/dL	8.5-10.4
BUN/CREATININE RATIO	15.5		6.0-25.0
CREATININE	1.1	mg/dL	0.5-1.4
UREA NITROGEN	17	mg/dL	7-25
CARBON DIOXIDE	22	mmol/L	21-33
CHLORIDE	103	mmol/L	98-110
POTASSIUM	4.2	mmol/L	3.5-5.3
GLUCOSE, FASTING		mg/dL	65-109

Glucose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, please call our client services department at 800-631-1390.

SODIUM	142	mmol/L	135-146
POTASSIUM	4.2	mmol/L	3.5-5.3
CHLORIDE	103	mmol/L	98-110
CARBON DIOXIDE	22	mmol/L	21-33
UREA NITROGEN	17	mg/dL	7-25
CREATININE	1.1	mg/dL	0.5-1.4
BUN/CREATININE RATIO	15.5		6.0-25.0
CALCIUM	9.6	mg/dL	8.5-10.4
PROTEIN, TOTAL	7.3	g/dL	6.0-8.3
ALBUMIN	4.5	g/dL	3.5-4.9
GLOBULIN, CALCULATED	2.8	g/dL	2.2-4.2
A/G RATIO	1.6		0.8-2.0
BILIRUBIN, TOTAL	0.45	mg/dL	0.20-1.50
ALKALINE PHOSPHATASE	107	U/L	20-125
AST	19	U/L	2-50
ALT	33	U/L	2-60
PTT	30.9	Seconds	22.0-34.0
PROTHROMBIN TIME			
INR	0.93	Ratio	0.90-1.10
No Anticoagulant, Normal 0.9 - 1.1			
Oral Anticoagulant, Standard Dose 2.0 - 3.0			
Oral Anticoagulant, High Dose 2.5 - 3.5			
GLUCOSE	101	mg/dL	65-125

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



65104

Steven Alfano  
 NYH # 228-41-47  
 01/18/02 00:00  
 Page 2

## CORNELL INTERNAL MEDICINE ASSOCIATES

The glucose reference range is based on a non-fasting state.

## CBC W/ DIFF &amp; PLT

WBC	7.2	Thous/mcl	3.8-10.6
RBC	5.10	Mill/mcl	4.20-5.80
HEMOGLOBIN	15.2	g/dL	13.2-17.1
HEMATOCRIT	44.1	%	38.5-50.0
MCV	86.5	fL	80.0-100.0
MCH	29.8	pg	27.0-33.0
MCHC	34.4	g/dL	32.0-36.0
RDW	13.2	%	11.0-15.0
PLATELET COUNT	267	Thous/mcl	140-400
MPV	8.2	%	7.5-11.5
TOTAL NEUTROPHILS,%	66.4	%	
TOTAL LYMPHOCYTES,%	24.0	%	
MONOCYTES,%	6.1	%	
EOSINOPHILS,%	2.8	%	
BASOPHILS,%	0.7	%	
NEUTROPHILS,ABSOLUTE	4781	cells/mcl	1500-7800
LYMPHOCYTES,ABSOLUTE	1728	cells/mcl	850-3900
MONOCYTES,ABSOLUTE	439	cells/mcl	200-950
EOSINOPHILS,ABSOLUTE	202	cells/mcl	50-550
BASOPHILS,ABSOLUTE	50	cells/mcl	0-200

## DIFFERENTIAL

An instrument differential was performed.

Please note new reference range

## URINALYSIS, COMPLETE

COLOR	Yellow	Yellow
APPEARANCE	Clear	Clear
GLUCOSE, OL	Negative	mg/dL Negative
BILIRUBIN	Negative	mg/dL Negative
KETONES	Negative	mg/dL Negative
SPECIFIC GRAVITY	1.030	1.001-1.030
BLOOD	Negative	Negative
PH	7.0	5.0-8.0
PROTEIN, TOTAL, OL	30 (1+)	mg/dL Negative
NITRITE	Negative	Negative
LEUKOCYTE ESTERASE	Negative	Negative
SQUAMOUS EPITHELIAL CELLS	3-5	/hpf 0-5/hpf
WBC	0-2	/hpf 0-3/hpf
BACTERIA	None	/hpf None
RBC	0-2	/hpf 0-2/hpf
MUCUS	Trace	/hpf

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45164

Steven Alfano  
NYH # 228-41-47  
01/18/02 08:39

CORNELL INTERNAL MEDICINE ASSOCIATES

Progress Note: Steven Alfano / January 18, 2002

CHMA/GMC Preoperative Evaluation

Requested by: Dr. Michael Alexiades

Referring Physician's address/telephone #: 159 E 74th St., New York

fax 212 439 6855

Planned surgery: arthroscopic shoulder surgery, decompression

Surgery date: 1/28/02

HPI: 44 year old man with R shoulder separation, operated on before for minor cuff tear, now for arthroscopic decompression. Major complaint is pain, limitation of movement.

PMH: severe spinal stenosis - L5-S1

HTN - good control

headaches - relieved by imitrex

Coronary artery disease: none

Diabetes mellitus requiring therapy other than diet: never

COPD: no diagnosis, no symptoms

Asthma: none

PSH: previous shoulder surgery, tonsils, soft palate reduction for sleep apnea

Hx: HTN, no CAD

Sx: lives with wife, 2 children

Work: trying to get disability, unable to work secondary to back pain

Relationships: lives with wife, stressed about financial issues, health insurance

Cigarette user: 30 pack-years

Alcohol: rare

Drugs: no

Health maintenance:

immunizations:

Last Td: doesn't remember

Flu vaccine: doesn't want

Pneumovax: not indicated

PTD: not indicated

Current Medications: viox 50 qd

lisinopril 10 qd

prevacid 30 q HS

ASA 81 mg qd

imitrex nasal spray 20

Allergies:

caffeine - none

Review of Systems:



## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

Steven Alfano

NYH # 228-41-47

01/18/02 08:39

Page 2

## CORNELL INTERNAL MEDICINE ASSOCIATES

Problems with anesthesia: never  
 Bleeding problems: none  
 Exercise: limited by spinal stenosis, limited by back pain, weakness in leg  
 Blocks walked before needing to rest: <1  
 Flights of steps climbed before needing to rest: 1  
 Reason for stopping: loss of strength in legs  
 Pain: no problems  
 Card: no chest discomfort or palpitations  
 GI: constipation  
 GU: urinary retention, evaluated by urology - not felt to need treatment

## Objective:

BP Right: 140/104 Left: 140/100 Pulse: 88 Wt: 298 Ht: 6'3"  
 HEENT: PERRL, BOMI w/out nystagmus, discs flat B, no H/E.  
 OP: TM's and eardrums clear, no sinus tenderness.  
 Neck: no LN, no thyromegaly/nodules, carotids 2+ B, no bruits.  
 Lungs and Chest: CTA and P. No axillary or SC LN.  
 Car: PMI nonenlarged, nondisplaced, RRR s1s2, no m/gc.

Back: no spinous tenderness or scoliosis. No CVAT.  
 Abd: BS active, NT, ND, no HSM.  
 Rectal:  
 Lymphatics: No axillary, supraclavicular, or inguinal L.A.N.  
 Ext: DP 2+ B, no edema.  
 MS: axillary R shoulder impingement  
 Neuro: Nonfocal. Strength S/S B UE and LE. DTR's 2+ throughout  
 Skin: No rashes or dysplastic nevi.  
 GU: testes NL size, no masses, no scrotal masses, no inguinal hernia B.

## Data (as clinically indicated):

## Chemistry history:

CBC:

PT/PTT:

ECG:

Chest X-ray:

Stress test: not indicated

## Impression:

low risk for planned procedure

## Recommendations:

Keith Roach, MD

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

Steven Alfano  
NYH # 228-41-47  
02/12/02 14:09

CORNELL INTERNAL MEDICINE ASSOCIATES

Progress Note: Steven Alfano / February 12, 2002

Subjective: 44 year old man with  
spinal stenosis  
needs evaluation for social security

Objective:

BP 130/90 P 88 bpm Wt 300 lbs Height 6ft 3in  
quads 4/5  
+ SLR bilaterally  
nl sensation  
decreased L patellar reflex

Current Medications:

TRIAMCINOLONE 0.1% CREAM / apply bid  
VIOXX 50MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd  
IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prn  
IMITREX 50MG TABLET / 1-2 tabs with onset of migraine  
ASPIRIN 81MG TABLET EC / 1 po qd

Allergies:

Impression:

Plan:  
forms filled out  
Uti with surgery prn

RTC

Kelth Roach, MD

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Allano  
NYH # 228-41-47  
05/23/02 12:29

HSS

MRI LOWER EXTREMITY

Dr. Michael Alexiades

IMPRESSION:

Magnetic resonance imaging of the right hip demonstrating superficial cartilage loss over the hip joint, borderline acetabular dysplasia and a torn, hyperplastic and degenerated anterior acetabular labrum.

There is a marrow replacement process affecting the left femur which overall has a non-aggressive appearance. Differential possibilities are noted, as above.

Dictated by Hollis Potter M.D.

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



46104

 Steven Alfano  
 NYH # 228-41-47  
 06/05/02 00:00

CORNELL INTERNAL MEDICINE ASSOCIATES

 Patient Name: ALFANO, STEVEN  
 History #: 2284147  
 Accession #: 43218721  
 Soc Security: 089448848  
 Date of Birth: 01/14/50  
 Sex: M  
 Ordered by:  
 Specimen Date: 06/05/2002 00:00  
 Report Date: 06/05/2002 02:18  
 Status: Final

## COMP METABOLIC PANEL

GLUCOSE, FASTING mg/dL 65-108

Glucose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, please call our client services department at 800-631-1390.

SODIUM	141	mmol/L	135-145
POTASSIUM	4.2	mmol/L	3.5-5.3
CHLORIDE	103	mmol/L	98-110
CARBON DIOXIDE	22	mmol/L	21-23
UREA NITROGEN	19	mg/dL	7-25
CREATININE	1.1	mg/dL	0.5-1.4
BUN/CREATININE RATIO	17.3		6.0-25.0
CALCIUM	9.6	mg/dL	8.5-10.4
PROTEIN, TOTAL	7.4	g/dL	6.0-8.3
ALBUMIN	4.7	g/dL	3.5-4.9
GLOBULIN, CALCULATED	2.7	g/dL	2.2-4.2
A/G RATIO	1.7		0.8-2.0
BILIRUBIN, TOTAL	0.73	mg/dL	0.20-1.50
ALKALINE PHOSPHATASE	120	U/L	20-125
AST	21	U/L	2-50
ALT	36	U/L	2-60
PTT	32.9	Seconds	22.0-34.0
PROTHROMBIN TIME			
INR	0.95	Ratio	0.90-1.10
No Anticoagulant, Normal 0.9 - 1.1			
Oral Anticoagulant, Standard Dose 2.0 - 3.0			
Oral Anticoagulant, High Dose 2.5 - 3.5			

GLUCOSE 102 mg/dL 65-125

The glucose reference range is based on a non-fasting state.

## CBC W/ DIFF &amp; PLT

WBC	7.5	Thous/mcl	3.8-10.8
RBC	5.28	Mill/mcl	4.20-5.80
HEMOGLOBIN	15.5	g/dL	13.2-17.1
HEMATOCRIT	44.8	%	38.5-50.0
MCV	84.8	fL	80.0-100.0
MCH	29.4	pg	27.0-33.0
MCHC	34.7	g/dL	32.0-36.0
RDW	12.4	%	11.0-15.0
PLATELET COUNT	237	Thous/mcl	140-400
MPV	8.3	%	7.5-11.5
TOTAL NEUTROPHILS, %	57.8	%	
TOTAL LYMPHOCYTES, %	22.9	%	



## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

Steven Alfano  
 NYH # 228-41-47  
 06/05/02 00:00  
 Page 2

## CORNELL INTERNAL MEDICINE ASSOCIATES

MONOCYTES, %	6.6	%	
EOSINOPHILS, %	2.6	%	
BASOPHILS, %	0.1	%	
NEUTROPHILS, ABSOLUTE	5153	Cells/mcl	1500-7800
LYMPHOCYTES, ABSOLUTE	1740	Cells/mcl	850-3900
MONOCYTES, ABSOLUTE	502	Cells/mcl	200-950
EOSINOPHILS, ABSOLUTE	198	Cells/mcl	50-550
BASOPHILS, ABSOLUTE	8	Cells/mcl	0-200
DIFFERENTIAL			

An instrument differential was performed.

## URINALYSIS, COMPLETE

COLOR	Dark Yellow	Yellow
APPEARANCE	Clear	Clear
GLUCOSE, OL	Negative	mg/dL Negative
BILIRUBIN	Negative	Negative
KETONES	Negative	mg/dL Negative
SPECIFIC GRAVITY	1.025 H	1.001-1.030
BLOOD	Negative	Negative
PH	6.0	5.0-8.0
PROTEIN, TOTAL, OL	30 (1+)	mg/dL Negative
NITRITE	Negative	Negative
LEUKOCYTE ESTERASE	Negative	Negative
SQUAMOUS EPITHELIAL CELLS	3-5	/hpf 0-5
WBC	0-2	/hpf 0-3
BACTERIA	None	/hpf None
RBC	None	/hpf 0-2

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45504

Steven Alfano  
 NYH # 228-41-47  
 06/11/02 17:58

## CORNELL INTERNAL MEDICINE ASSOCIATES

Progress Note: Steven Alfano / June 11, 2002

Subjective: 44 year old man with  
 preoperative visit - no changes since last visit 1/02

femur lesion - reassured by orthopaedic oncologist  
 dx LSMFT (? liposclerotic myofibrous tumor)

depression - feeling better with benign diagnosis above

testicle dysfunction - also contributing to depression

## Objective:

BP 124/84 P 88 BPM Wt 298 LBS Height 6FT 3IN

HEENT: PERRL, EOMI w/out nystagmus, discs flat B, no H/E.  
 OP, TM's and nasoscler, no sinus tenderness.

Neck: no LN, no thyromegaly/nodules, carotids 2+ B, no bruits.

Lungs and Chest: CTA and P. No axillary or SC LN.

Card: PMI nonenlarged, nondisplaced, RRR sis2, no m/gfr.

Back: no spinous tenderness or scoliosis, No CVAT.

Abd: BS active, NT, ND, no HSM.

Rectal:

Lymphatics: No axillary, supraclavicular, or inguinal LAM.

Ext: DP 2+ B, no edema.

M/S: moderate R shoulder impingement

Neuro: Nonfocal. Strength 5/5 B UE and LE. DTR's 2+ throughout.

Skin: No rashes or dysplastic nevi.

GU: testes NL size, no masses, no scrotal masses, no inguinal hernia B.

## Current Medications:

VICODIN 5/500 TABLET / 1 tab po q 4 h prn

TRIAMCINOLONE 0.1% CREAM / apply bid

VIOXX 50MG TABLET / 1 tab po qd

CELEXA 20MG TABLET / 1 po qd

ZESTRIL 20MG TABLET / 1 po qd

PREVACTID 30MG CAPSULES / 1 po qd

IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prn

IMITREX 50MG TABLET / 1-2 tabs with onset of migraine

ASPIRIN 81MG TABLET EC / 1 po qd

## Allergies:

## Impression:

## Plan:

low risk for planned surgery

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
06/11/02 17:58  
Page 2

ED  
New medications: VIAGRA 50MG TABLET / 1 tab po 1-2 times intercourse

to have use  
WELLBUTRIN SR 150MG TABLET / 1 tab po bid  
may have benefit in depression

RTC

Keith Roach, MD

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45194

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
08/08/02 13:53

HSS  
May 6, 2002

Examination of the Right Hip  
and Left Hip and Proximal Femur

IMPRESSION:

Large non-aggressive bony lesion expands and remodels the proximal femur from the femoral neck through the proximal shaft and has matrix calcification, compatible with a chondral lesion. Bone scan is recommended to assess activity of the lesion. Chondrosarcoma is in the differential.

L. Daniel Neisadi, MD  
(ms)



THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano

NYH # 228-41-47

09/27/02 15:48

Progress Note: Steven Alfano / September 27, 2002

Subjective: 44 year old man with  
low back pain - got social security disability

femur lesion - reassured by orthopaedic oncologist  
dx LSMFT (? liposclerotic myxofibrous tumor)

depression - feeling better with benign diagnosis above  
doing better with Wellbutrin

erectile dysfunction - also contributing to depression  
got prescription

quit smoking

hernia  
c/o pain under R testicle  
worse after sex

hip pain - L sided - only once

HTN - on Zestril  
Objective:

BP 130/90 bp P 80 bpm Wt 293 lbs Height 6FT 3IN  
small bulging, no frank herniation

Current Medications:

VIAIRA 50MG TABLET / 1 tab po 1-2 h a intercourse  
WELLBUTRIN SR 150MG TABLET / 1 tab po bid  
VICODIN 5/500 TABLET / 1 tab po q 4 h prn  
TRIAMCINOLONE 0.1% CREAM / apply bid  
VIOXX 50MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd  
IMITREX NASAL SPRAY 70MG/SPRAY / 1 spray intranasally prn  
IMITREX 50MG TABLET / 1-2 tabs with onset of migraine  
ASPIRIN 31MG TABLET EC / 1 po qd

Allergies:

Impression:

Planned re-assessment re hernia

depression - better

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



05104

CORNELL INTERNAL MEDICINE ASSOCIATES

back pain - pt plans to get back surgery eventually

Refilled: WELLBUTRIN SR 150MG TABLET / 1 tab po bid  
VICODIN 5/500 TABLET / 1 tab po q 4 h prn

RTC

Keith Branch, MD

Steven Alfano

NYH # 228-41-47

09/27/02 15:48

Page 2

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



29100

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
12/11/02 00:00

Patient Name: ALFANO, STEVEN  
History #: 2284147  
Accession #: 90110627  
Soc Security: 098440648  
Date of Birth: 01/14/58  
Sex: M  
Ordered by:  
Specimen Date: 12/11/2002 00:00  
Report Date: 12/14/2002 12:36  
Status: Final

TESTOSTERONE, TOT & FREE

TESTOSTERONE, % FREE	93.2	2.8 H	Percent	1.0-2.7
TESTOSTERONE, FREE			pg/mL	50.0-210.0
TOTAL TESTOSTERONE	336		ng/dL	260-1000

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano

NYH # 228-41-47

12/11/02 14:04

Progress Note: Steven Alfano / December 11, 2002

Subjective: 44 year old man with  
noted mass in mouth

low back pain - got social security disability  
taking Vioxx, ibuprofen, Vicodin

legion lesion - reassured by orthopedic oncologist  
dx LSMFT (? liposclerosing myxofibrous tumor)

depression - feeling better with benign diagnosis above  
doing better with Wellbutrin

erectile dysfunction - also contributing to depression  
got prescription

quit smoking

hernia  
c/o pain under R testicle  
worse after sex

hip pain - L sided - only once  
R sided labral tear

HTN - on Zestril

SH: did get disability  
financially doing much better

Objective:

BP 136/88 P 92bpm RR 12 Wt 283.5lbs Height 6FT 3IN  
looks like root of wisdom tooth - supposedly all removed

Current Medications:

VIAGRA 50MG TABLET / 1 tab po 1-2 h a intercourse  
WELLBUTRIN SR 150MG TABLET / 1 tab po bid  
VICODIN 5/500 TABLET / 1 tab po q 4 h prn  
TRIAMCINOLONE 0.1% CREAM / apply bid  
VIOXX 50MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd